IBS America develops integrated compliance management solutions to help companies improve corporate governance and achieve sustained adherence to regulations and standards. Since 1993, we have delivered our systems and services to thousands of companies worldwide. IBS America is one of the very few compliance software companies certified to ISO 9001.

WEB-BASED COMPLIANCE MANAGEMENT SOFTWARE

Sarbanes Oxley Process Management

Simplify Sarbanes Oxley compliance with CompliantPro's purpose-built SOX capabilities. Address the requirements of SOX sections 302, 404, and 409 with a flexible, risk-based, holistic GRC framework. Transform your approach to financial reporting and monitoring, see lower audit costs, and benefit from the long-term results of improved governance.

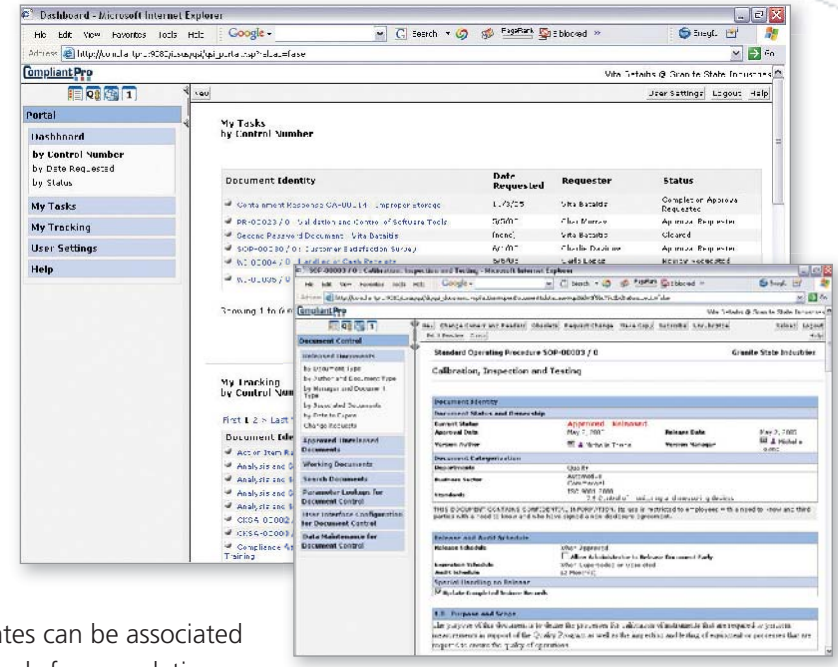
Benefits

- **More Confident Reporting:** CompliantPro offers everything you need to manage your next audit. Document processes, management controls and financial controls. Perform thorough risk assessment, tracking and analysis. Stay on top of important dates and deliverables. Give management visibility into the current SOX status at any time. Eliminate unpleasant "surprises" at year end and file your reports on time. You'll do more than impress your auditors – you'll revolutionize your company's approach to governance.
- **Lower Costs:** Use our risk-based system to oversee financial reporting, and cut your SOX audit costs in half. CompliantPro's secure repository and workflow makes it easy to tie together all the information needed to complete important SOX activities. Spreadsheet and word processing-based compliance methods are unwieldy and impractical, driving higher costs. Assessments with CompliantPro are easier, faster, and cheaper.
- **Elimination of Compliance Silos:** While SOX compliance is vital to your organization, it's not your only obligation. CompliantPro provides the framework to support a wide range of existing and evolving compliance requirements. Avoid the redundant efforts that occur when you address multiple point solutions. Streamline your compliance initiatives with the powerful, integrated platform of CompliantPro.
- **Document Control:** Use the centralized dashboard to access documentation of all processes, management controls and financial controls. Define workflows, approvers, backups, and escalations. Create and manage custom forms and layouts to best meet your company's needs.
- **IT Compatible:** CompliantPro runs on industry-standard relational databases, e-mail systems, web application servers, directory services, and portals. Your IT department will appreciate that.
- **Flexibility:** If the requirements of Sarbanes Oxley evolve, so will the way you address them. Change your compliance processes to keep pace with new and updated standards. Use CompliantPro to address not only SOX, but all of your unique GRC and compliance requirements.

CompliantPro

Sarbanes Oxley Process Management

Features



- **Web Based:** This web-based solution is centrally administered and easy to deploy even across multiple geographic locations with a user interface that can integrate seamlessly with a portal. Except for a browser, no software is required on user PCs.
- **Notifications, Alerts, and Escalation Handling:** The system alerts users about pending due dates and escalates overdue items for follow-up actions. Target due dates can be associated with priority codes to establish goals for completing Containment Responses and Resolution Responses.
- **Workflow Engine:** Set up workflows of virtually any type allowing for routing, assignment and responsibility models. With real-time tracking , determine a document's place in the workflow process at any time.
- **Rich Text Editing in a Browser:** While other web applications only permit simple text entry, CompliantPro offers you full functionality as you edit documents. Change fonts and emphasis of text, and embed images and tables inside fields.
- **Employee Training:** Educate your employees about your company's policies and procedures. Foster a more responsible, conscientious workplace, and ensure that each employee has job-specific knowledge. Implement whistle-blower strategies to ensure your company adheres to the letter of the law.
- **Risk Management:** CompliantPro's SOX solution is provided as a component of our Enterprise Risk Management module which is itself COSO- and OCEG-framework compatible. Utilize our risk-based controls testing platform. Gain easy access to current policies and procedures. Automatically alert owners, testers, auditors of their responsibilities. A formal sign-off process further supports the requirements of 302 & 404.
- **Activity History and Audit Trail:** Access a complete audit trail of all actions taken. View the times and dates of activities and changes. The audit trail also records all workflow steps and user activities performed.
- **Reviews:** Meeting agendas, minutes and action items are created and tracked for management reviews, design reviews, material reviews, and contract reviews.

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